# Human plasma metabolomics for identifying differential metabolites and predicting molecular subtypes of breast cancer

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## ABSTRACT

Purpose: This work aims to identify differential metabolites and predicting molecular subtypes of breast cancer (BC).

Methods: Plasma samples were collected from 96 BC patients and 79 normal participants. Metabolic profiles were determined by liquid chromatography-mass spectrometry and gas chromatography-mass spectrometry based on multivariate statistical data analysis.

Results: We observed 64 differential metabolites between BC and normal group. Compared to human epidermal growth factor receptor 2 (HER2)-negative patients, HER2-positive group showed elevated aerobic glycolysis, gluconeogenesis, and increased fatty acid biosynthesis with reduced Krebs cycle. Compared with estrogen receptor (ER)-negative group, ER-positive patients showed elevated alanine, aspartate and glutamate metabolism, decreased glycerolipid catabolism, and enhanced purine metabolism. A panel of 8 differential metabolites, including carnitine, lysophosphatidylcholine (20:4), proline, alanine, lysophosphatidylcholine (16:1), glycochenodeoxycholic acid, valine, and 2-octenedioic acid, was identified for the classification of BC subtypes. These markers showed potential diagnostic value with average area under the curve at 0.925 (95% CI 0.867-0.983) for the training set (n=51) and 0.893 (95% CI 0.847-0.939) for the test set (n=45).

Conclusion: Human plasma metabolomics is useful in identifying differential metabolites and predicting breast cancer subtypes.

#### **INTRODUCTION**

Breast cancer (BC) is the most common cause of death among women worldwide [1]. Human epidermal growth factor receptor 2 (HER2), estrogen receptor (ER) are the two key molecular biomarkers to segregate the most distinct biologic subgroups of BC [2]. The characteristics of HER2 and ER can be used to roughly divide BC into four major molecular subtypes, including Luminal A (HER2 negative and ER positive), Luminal B (HER2 positive and ER positive), HER2-enriched (HER2 positive and ER negative), and Basal-Like (HER2 negative and ER positive) [3]. Each subtype of BC is accompanied with characteristic molecular features, subsequent metastatic lesions, prognosis and clinical responses to available medical therapies [4].

Determining the molecular subtype of BC is fundamental for personalized treatment. It was demonstrated that the "specific molecular type matched" patients had a higher overall response rate, longer time to treatment failure and longer survival compared to patients whose treatment was not matched to particular molecular

abnormality [5]. Repeated biopsies and subsequent histopathology are commonly used to study molecular and genetic information from tumor cells for BC diagnosis and subtype classification. This analysis is invasive and timeconsuming [6, 7]. Rapid and sensitive analysis is urgently required in clinic for discrimination of BC subtypes.

Recent studies have shown that genomic alterations in solid cancers can be characterized by bio-fluid metabolome change [8, 9]. Metabolomics is a new, rapidly expanding field dedicated to the global metabolic alterations in biological systems that occur in response to genetic, pathological, and environmental or lifestyle factors. The high-throughput nature of metabolomics makes it applicable to perform diagnostic biomarker screening for diseases or follow drug efficacy [10]. Plasma, a frequently considered pool of metabolites, has been applied to represent systemic metabolic deregulation in cancer patients, and the markers in this biological specimen could present biological mechanisms during cancer progression [9]. Metabolomics has been applied to find urinary biomarkers for BC [11]. Limited data, however, is available to characterize BC molecular subtypes by plasma metabolic profiles.

Gas chromatography coupled with mass spectrometry (GC-MS), liquid chromatography (LC)-MS, and nuclear magnetic resonance (NMR) are the three most commonly used platforms for metabolomic study [12, 13]. LC-MS is the most compatible technique for sensitive detection of biomolecules [14]. GC-MS technique provides a relatively more robust chromatography and greater separation efficiency together with the availability of reference compound libraries [13]. The parallel use of GC-MS and LC-MS could be a good choice to better profile different classes of compounds.

In this study, metabolomics was applied to identify differential metabolites and predicting molecular subtypes of breast cancer. We collected plasma samples from 96 BC patients and 79 normal control (NC) participants. Analysis was performed on ultraperformance liquid chromatography-quadrupole time of flight mass spectrometry (UPLC-Q/TOF-MS) and gas chromatography-quadrupole mass spectrometry (GC-Q/MS).

# **RESULTS**

Clinical characteristics of BC patients and NC subjects were summarized in Table 1. Detailed patient information, stages of disease and other parameters were shown in Supplementary Table S1 and Table S2. Typical immunohistochemical pathology of different receptor statuses in accordance with the FDA-approved system was provided in Supplementary Figure S1. Typical total ion chromatograms (TICs) of a BC sample obtained from ESI<sup>+</sup>, ESI<sup>-</sup>, and GC-Q/MS were provided in Supplementary Figure S2. As shown in Figure 1, clear discriminations

were obtained by ESI<sup>+</sup> between BC and NC groups (Figure 1A), HER2-positive and HER2-negative BC groups (Figure 1B), ER-positive and ER-negative BC groups (Figure 1C). Similar discriminations were also observed by ESI<sup>-</sup> (Supplementary Figure S3) and GC-Q/MS (Supplementary Figure S4). The metabolites with variable importance in the project (VIP) higher than 1 in loading plot were highlighted as biomarker candidates (Supplementary Figure S5). Additionally, Student's *t* test was used to validate the significance of the difference in intensities between variables.

### Discrimination of BC and NC groups

A total of 1957 peaks were detected from ESI<sup>+</sup> LC-MS, 1329 peaks from ESI<sup>-</sup> LC-MS, and 123 peaks from GC-MS. The significant ions were then imported into the SIMCA-P 11.5 software package. Figure 1A illustrated score plots of the partial least squares discriminant analysis (PLS-DA) model of BC patients and NC participants. In Figure 1A, BC patients were clearly separated from NC group. The cumulative R<sup>2</sup>Y and Q<sup>2</sup> were 0.953 and 0.918. The chance permutations at 200 times produced R<sup>2</sup>Y-intercept and Q<sup>2</sup>-intercept at 0.322 and -0.109 (Figure 1D), indicating that no over-fitting was observed.

Sixty-four significantly altered plasma metabolites in BC patients relative to NC group were identified from the two-component PLS-DA model, in which 32 were further confirmed using reference compounds. The differential metabolites and their pathways were presented in Supplementary Table S3. Their relative normalized quantities were plotted in a heat map in Figure 2A.

# Correlation network of differential metabolites in BC plasma

A correlation network analysis was established using Cytoscape software in Figure 2B. Highly correlated metabolites were connected with a line. Glycolysis-related metabolites were located in the center of the network with an elevated tendency. A positive correlation was observed between the levels of glycolysis-related metabolites and fatty acids, indicating the high energy consumption from aerobic glycolysis during fatty acid biosynthesis in cancer. Lipids, especially lysophospholipids, exhibited a significantly decreased amount in the network. For most of the amino acids, there was a negatively correlated regulation.

# Discrimination of HER2-positive and HER2negative BC

As shown in Figure 1B, we demonstrated significant differences between the HER2-positive patients and HER2-negative BC subjects in the PLS-DA score plot. Permutation results were shown in Figure 1E. Using a

Table 1: Clinical characteristics of the	patients with breast cancer
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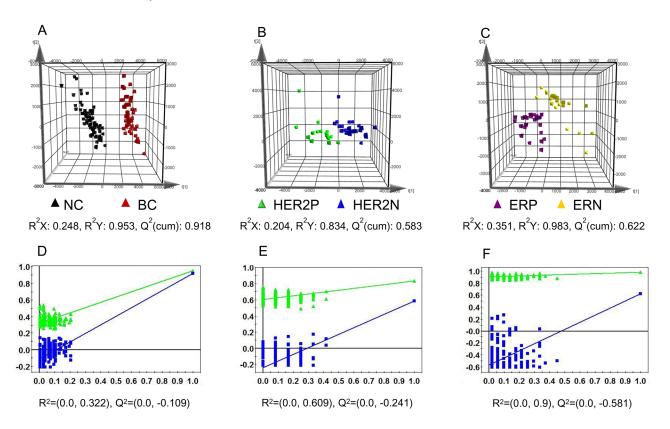
Samples		HER2 positive (IHC + + + and + + with gene amplification by FISH)	HER2 negative (IHC-, + , and ++ without gene amplification by FISH)	Normal control	p
Sample No.		36	60	79	
Age(year)		52.3(31~82)	53.1(31~78)	46.4(24~86)	>0.05
ER positive		<i>n</i> =11 (5 for test set) as Luminal B subtype	<i>n</i> =42 (22 for test set) as Luminal A subtype	\	
ER negative		<i>n</i> =25 (10 for test set) as HER2-enriched subtype	<i>n</i> =18 (8 for test set) as Basal-like subtype	\	
	Ι	6	25	\	
TNM	IIA	19	20	\	
classification stage	IIIA	1	1	\	
0	IIIB	10	14	\	

HER2, human epidermal growth factor receptor 2;

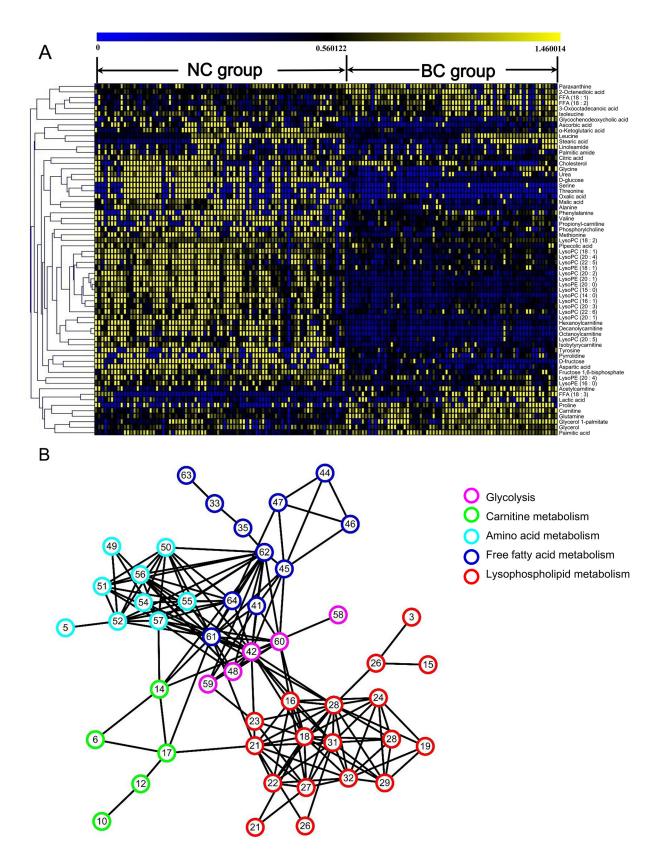
ER, estrogen receptor;

IHC, immunohistochemistry;

FISH, fluorescence in situ hybridization.



**Figure 1: PLS-DA loading plots and chance permutation test obtained from LC-MS in positive mode. A.** Normal control (NC) *vs* breast cancer (BC) group; **B.** HER2-positive (HER2P) *vs* HER2-negative (HER2N) BC group; **C.** ER-positive (ERP) *vs* ER-negative (ERN) group. Black triangle corresponds to NC group, red triangle corresponds to BC group, green triangle corresponds to HER2-positive BC patients, blue triangle corresponds to HER2-negative BC subjects, purple triangle corresponds to ER-positive participants, and yellow triangle corresponds to ER-negative patients. Chance permutation at 200 times was used for the discrimination between **D.** NC *vs* BC, **E.** HER2P *vs* HER2N, and **F.** ERP *vs* ERN.



**Figure 2: The identified differential metabolites between normal control (NC) and breast cancer (BC) groups.** A. Heatmap of 64 differential metabolites between BC and NC participants. The colors from blue to yellow indicate the elevated amount of metabolites. B. Correlation network analysis of differential metabolites. Metabolites with high correlation coefficients were connected by lines.

combination of the VIP > 1 from PLS-DA with results from Student's *t* test, 40 metabolites (Table 2) were identified as differential variables. Heatmap of 40 differential metabolites were shown in Supplementary Figure S6. HER2-related altered metabolic pathway network of the significantly regulated metabolites was provided in Figure 3.

# Discrimination of ER-positive and ER-negative BC

As shown in Figure 1C, significant differences were observed between the ER-positive and ER-negative

patients. Table 3 listed the 22 differential metabolites identified with the VIP > 1 from PLS-DA and results from Student's *t* test. ER-related disturbed metabolic pathways were shown in Figure 4.

#### Diagnostic potential of differential metabolites for subtype classifications

The HER2 and ER statuses are the key to the classification of BC subtypes. The metabolites with VIPs > 2.5 responsible for the discrimination of HER2 in Table 2 and ER statuses in Table 3 were selected for potential diagnosis. A combinational panel of 8

Table 2: Differential metabolites identified between HER2 positive breast cancer and HER2 negative breast cancer	
and their pathway involved	

No.	$t_R(\min)$	m/z	Metabolites	Formula	Fold change "	<i>p</i> value	VIP <sup>b</sup>	Pathway involved
ESI+								
1	0.676	147.0604	Glutamine*	$C_5H_{10}N_2O_3$	1.287	< 0.001	1.232	Alanine, aspartate and glutamate metabolism
2	0.733	162.1124	Carnitine*	C <sub>7</sub> H <sub>15</sub> NO <sub>3</sub>	1.367	< 0.001	2.721	Fatty acid transportation
3	0.743	116.0711	Proline*	C <sub>5</sub> H <sub>9</sub> NO <sub>2</sub>	1.217	0.007	2.771	Arginine and proline metabolism
4	0.959	118.0869	Valine*	C <sub>5</sub> H <sub>11</sub> NO <sub>2</sub>	1.187	0.002	2.749	Valine, leucine and isoleucine metabolism
5	1.015	204.1231	Acetylcarnitine*	$C_9H_{17}NO_4$	1.666	0.003	2.337	Fatty acid transportation
6	1.018	130.0861	Pipecolic acid	$C_6H_{11}NO_2$	0.746	0.024	1.485	Protein synthesis, amino acid biosynthesis
7	1.242	150.0548	Methionine*	C <sub>5</sub> H <sub>11</sub> NO <sub>2</sub> S	1.260	< 0.001	1.986	Cysteine and methionine metabolism
8	1.468	182.0815	Tyrosine*	$C_9H_{11}NO_3$	1.227	0.030	1.036	Aminoacyl-tRNA biosynthesis
9	1.638	218.1378	Propionyl-carnitine	$C_{10}H_{19}NO_{4}$	1.238	< 0.001	1.622	Fatty acid transportation
10	1.751	166.0871	Phenylalanine*	$C_9H_{11}NO_2$	1.375	< 0.001	2.221	Aminoacyl-tRNA biosynthesis
11	1.977	232.1545	Isobutyryl-carnitine	$C_{11}H_{21}NO_4$	1.334	0.011	1.454	Fatty acid transportation
12	2.712	260.1855	Hexanoylcarnitine	C <sub>13</sub> H <sub>25</sub> NO <sub>4</sub>	1.260	0.010	1.453	Fatty acid transportation
13	3.448	288.2172	Octanoylcarnitine	C <sub>15</sub> H <sub>29</sub> NO <sub>4</sub>	1.254	0.019	1.839	Fatty acid transportation

*(continued)* 

No.	$t_{R}(\min)$	m/z	Metabolites	Formula	Fold change <sup>a</sup>	<i>p</i> value	VIP <sup>b</sup>	Pathway involved
14	4.240	502.2933	LysoPE (20:4)	C <sub>25</sub> H <sub>44</sub> NO <sub>7</sub> P	1.497	0.001	2.274	Lysophospholipid catabolism
15	4.409	316.2485	Decanoyl-L-carnitine	C <sub>17</sub> H <sub>33</sub> NO <sub>4</sub>	1.386	0.004	1.626	Fatty acid transportation
16	4.636	544.3397	LysoPC(20:4)*	$C_{28}H_{50}NO_7P$	1.299	0.020	2.653	Glycerophospholipid catabolism
17	4.749	184.0734	Phosphorylcholine	$C_5H_{14}NO_4P$	0.721	0.007	1.009	Glycerophospholipid catabolism
18	4.862	480.3079	LysoPE(18:1)	C <sub>23</sub> H <sub>46</sub> NO <sub>7</sub> P	1.484	0.003	2.083	Lysophospholipid catabolism
19	5.654	522.3550	LysoPC (18:1)	C <sub>26</sub> H <sub>52</sub> NO <sub>7</sub> P	1.287	0.003	2.251	Glycerophospholipid catabolism
ESI-								
20	0.688	179.0579	Paraxanthine*	$C_7 H_8 N_4 O_2$	1.129	0.004	1.141	Purine metabolism
21	0.907	145.0139	$\alpha$ -Ketoglutaric acid	$C_5H_6O_5$	0.713	0.038	1.101	Tricarboxylic acid cycle
22	1.423	133.0139	Malic acid*	$\mathrm{C_4H_6O_5}$	0.461	0.004	1.571	Tricarboxylic acid cycle
23	1.536	130.0871	Isoleucine*	$C_6H_{13}NO_2$	1.545	< 0.001	1.957	Valine, leucine and isoleucine metabolism
24	2.611	171.0659	2-Octenedioic acid	$\mathrm{C_8H_{12}O_4}$	1.234	< 0.001	2.565	Fatty acid metabolism
25	3.573	448.3075	Glycocheno- deoxycholic acid*	$C_{26}H_{43}NO_5$	0.566	0.026	1.980	Bile acid biosynthesis
26	6.684	277.2174	FFA (18:3)*	$C_{18}H_{30}O_{2}$	1.422	0.007	1.746	Biosynthesis of unsaturated fatty acids
27	7.532	279.2322	FFA (18:2)*	$C_{18}H_{32}O_{2}$	1.461	< 0.001	1.864	Biosynthesis of unsaturated fatty acids
28	8.833	281.2486	FFA (18:1)*	$C_{18}H_{34}O_{2}$	1.174	0.013	1.416	Biosynthesis of unsaturated fatty acids
GC-N	<b>1</b> S							
29	6.299		Lactic acid*	$C_3H_6O_3$	1.443	< 0.001	1.208	Glycolysis metabolism
30	6.904		Alanine	C <sub>3</sub> H <sub>7</sub> NO <sub>2</sub>	1.023	< 0.001	1.190	Alanine and aspartate metabolism
31	7.117		Glycine*	C <sub>2</sub> H <sub>5</sub> NO <sub>2</sub>	2.252	< 0.001	1.961	Glycine, serine and threonine metabolism
32	8.879		Urea	CH <sub>4</sub> N <sub>2</sub> O	2.574	0.012	1.455	Urea cycle
33	10.612		Serine*	C <sub>3</sub> H <sub>7</sub> NO <sub>3</sub>	2.090	< 0.001	1.084	Glycine, serine and threonine metabolism
34	10.957		Threonine*	C <sub>4</sub> H <sub>9</sub> NO <sub>3</sub>	2.748	< 0.001	1.028	Glycine, serine and threonine metabolism
35	11.871		Aspartic acid*	$C_4H_7NO_4$	0.559	< 0.001	1.162	Alanine, aspartate and glutamate metabolism
								(continued)

No.	$t_R(\min)$	m/z	Metabolites	Formula	Fold change "	<i>p</i> value	VIP <sup>b</sup>	Pathway involved
36	16.230		<i>Citric acid</i> *	$C_6H_8O_7$	0.837	< 0.001	1.856	Tricarboxylic acid cycle
37	17.034		D-glucose*	$C_{6}H_{12}O_{6}$	0.333	< 0.001	1.794	Glycolysis metabolism
38	18.045		Palmitic acid*	$C_{16}H_{32}O_{2}$	1.789	< 0.001	1.739	Fatty acid biosynthesis
39	19.862		Stearic acid*	$C_{18}H_{36}O_{2}$	1.223	0.002	1.017	Fatty acid biosynthesis
40	27.843		Cholesterol*	C <sub>27</sub> H <sub>46</sub> O	0.832	< 0.001	2.359	Hormone biosynthesis and bile acid biosynthesis

\* confirmed with reference standards;

<sup>*a*</sup> fold change >1 indicates that the average normalized peak area ratio in HER2-positive group is larger than that in HER2negative group;

<sup>*b*</sup> variable importance in the projection.

Metabolites in italic were variables with VIP>1.5.

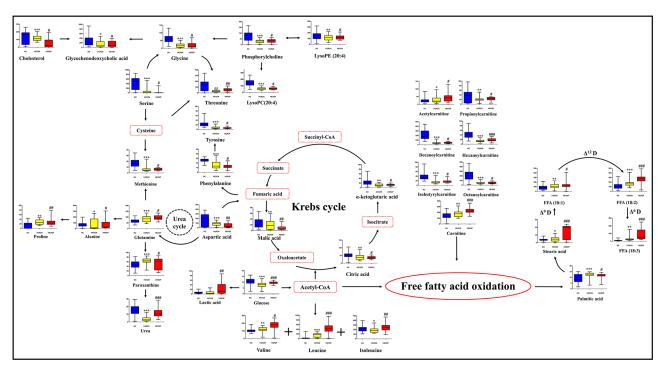


Figure 3: HER2-related altered metabolic pathway network of the significantly regulated metabolites. Blue, yellow, and red charts represent the relative intensities of differential metabolites in normal control, HER2-negative, and HER2-positive breast cancer groups. The names with dashed red lines represent the undetected metabolites. The names with full red lines represent the detected metabolic reactions. LysoPC, lysophosphorylcholine; LysoPE, lysophosphoethanolamine; FFA, free fatty acid;  $\Delta^6$  D,  $\Delta^6$  desaturase. Acetyl-CoA, acetyl-coenzyme A; Succinyl-CoA, succinyl-coenzyme A. \* represents the difference between HER2-negative breast cancer group and normal subjects, \*, p < 0.05; \*\*, p < 0.01; \*\*\*, p < 0.001, # represents the difference between HER2-positive patients and HER2-negative participants, #, p < 0.05; ##, p < 0.01; ###, p < 0.001.

metabolites was assigned as candidate markers shown in Figure 5A, including carnitine, lysophosphatidylcholines (lysoPC) (20:4), proline, alanine, lysoPC (16:1), glycochenodeoxycholic acid (GDCA), valine, and 2-octenedioic acid (2-OA). The performances of these 8

metabolites in the diagnosis of four clinical BC subtypes were conducted by ROC analysis. As shown in Figure 5B, the panel of 8 metabolites provided diagnostic abilities with average area under the curve at 0.925 (95% CI 0.867-0.983) for the training set (n=51) and 0.893

No.	$t_R(\min)$	m/z	Metabolites	Formula	Fold change <sup>a</sup>	<i>p</i> value	VIP <sup>b</sup>	Pathway involved
ESI+								
1	0.676	147.0604	Glutamine*	$C_5H_{10}N_2O_3$	1.159	0.019	1.650	Alanine, aspartate and glutamate metabolism
2	0.733	162.1124	Carnitine*	$C_7H_{15}NO_3$	1.187	0.006	2.373	Fatty acid transportation
3	0.959	118.0869	Valine*	C <sub>5</sub> H <sub>11</sub> NO <sub>2</sub>	0.682	< 0.001	2.813	Valine, leucine and isoleucine metabolism
4	1.242	150.0548	Methionine*	C <sub>5</sub> H <sub>11</sub> NO <sub>2</sub> S	1.284	0.025	1.942	Cysteine and methionine metabolism
5	4.296	468.3086	LysoPC(14:0)	C <sub>22</sub> H <sub>46</sub> NO <sub>7</sub> P	0.751	0.001	2.257	Glycerophospholipi catabolism
6	4.466	494.3242	LysoPC(16:1)*	$\mathrm{C_{24}H_{48}NO_{7}P}$	1.268	0.002	2.748	Glycerophospholipi catabolism
7	4.692	454.2957	LysoPE (16:0)	$C_{21}H_{44}NO_7P$	1.227	0.027	1.111	Lysophospholipid catabolism
8	4.805	542.3224	LysoPC (20:5)	$\mathrm{C}_{28}\mathrm{H}_{48}\mathrm{NO}_{7}\mathrm{P}$	0.649	0.037	2.358	Glycerophospholipi catabolism
9	4.805	482.3233	LysoPC(15:0)	$\mathrm{C_{23}H_{48}NO_{7}P}$	0.870	0.003	2.152	Glycerophospholipi catabolism
10	4.862	570.3547	LysoPC(22:5)	$C_{30}H_{52}NO_7P$	0.799	< 0.001	1.076	Glycerophospholipi catabolism
11	5.145	546.3559	LysoPC(20:3)	$C_{28}H_{52}NO_7P$	0.794	0.006	1.701	Glycerophospholipi catabolism
ESI-	0 ( 0 0	170.0570	D	CUNO	1 1 ( 0	0.002	1 445	Dening metalections
12 13	0.688 0.914	179.0579 175.0248	Paraxanthine* Ascorbic acid*	$\begin{array}{c} \mathrm{C_7H_8N_4O_2}\\ \mathrm{C_6H_8O_6} \end{array}$	1.169 0.750	0.003 0.014	1.445 1.330	Purine metabolism Ascorbic acid
14	1.423	133.0139	Malic acid*	$C_6H_8O_6$ $C_4H_6O_5$	0.464	< 0.001	1.373	Tricarboxylic acid
15	1.536	130.0871	Isoleucine*	C <sub>6</sub> H <sub>13</sub> NO <sub>2</sub>	0.875	0.002	1.209	Valine, leucine and isoleucine metabolism
16	2.611	171.0659	2-Octenedioic acid	$\mathrm{C_8H_{12}O_4}$	1.104	< 0.001	2.511	Fatty acid metabolism
17	3.573	448.3075	Glycocheno- deoxycholic acid*	C <sub>26</sub> H <sub>43</sub> NO <sub>5</sub>	1.265	0.002	3.035	Bile acid biosynthesis
GC-N	<b>AS</b>							
18	6.904		Alanine*	$C_{3}H_{7}NO_{2}$	2.056	< 0.001	2.891	Alanine and aspartate metabolish
19	9.304		Leucine*	C <sub>6</sub> H <sub>13</sub> NO <sub>2</sub>	0.452	< 0.001	1.085	Valine, leucine and isoleucine metabolism

Table 3: Differential metabolites identified between ER positive breast cancer and ER negative plasma and their pathway involved

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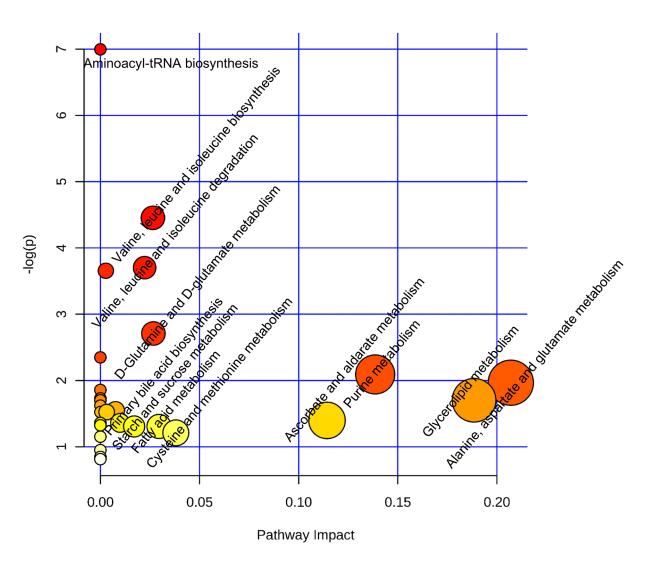
No.	$t_{R}(\min)$	m/z	Metabolites	Formula	Fold change <sup>a</sup>	<i>p</i> value	VIP <sup>b</sup>	Pathway involved
20	9.403		Glycerol*	C <sub>3</sub> H <sub>8</sub> O <sub>3</sub>	1.069	0.004	1.476	Glycerophospholipid metabolism
21	17.034		D-glucose*	$C_6H_{12}O_6$	1.218	0.003	2.193	Glycolysis metabolism
22	18.045		Palmitic acid*	$C_{16}H_{32}O_{2}$	0.556	< 0.001	1.900	Fatty acid biosynthesis

\* confirmed with reference standards;

<sup>*a*</sup> fold change >1 indicates that the average normalized peak area ratio in ER-positive group is larger than that in ERnegative group;

<sup>*b*</sup> variable importance in the projection.

Metabolites in italic were variables with VIP>1.5.



**Figure 4: Disturbed metabolic pathways in ER-positive compared with ER-negative BC.** Metaboanalyst (*http://www. metaboanalyst.ca*) generated topology map described the impact of baseline metabolites identified between ER-positive vs ER-negative groups with high VIP values (VIP>1) on metabolic pathways.

(95% CI 0.847-0.939) for the test set (n=45). Based on the highest prediction sensitivity and specificity of the ROC on the training set, we calculated the optimal cutoff values at 0.376 for Luminal A, 0.132 for Luminal B, 0.288 for HER2-enriched, and 0.342 for Basal-like subtypes (Figure 6). Using the optimal cut-off values, prediction accuracies in Figure 6A showed 88.3% for Luminal A subjects in the training set and 84.4% in the test set. Predictive accuracies in Figure 6B showed 92.2% for Luminal B patients in the training set and 88.9% for the test set. As shown in Figure 6C, predictive accuracies at 89.3% for HER2-enriched group in training set and 82.2% in the test set was obtained. In Figure 6D, we observed predictive accuracies at 84.3% for basal-like participants in the training set and 86.7% in the test set.

#### **DISCUSSION**

Α

This study describes for the first time the plasma metabolic profiling change specifically associated with BC subtypes. Metabolic phenotypes revealed significant pattern differences between BC and NC groups, HER2positive and HER2-negative BC groups, ER-positive and ER-negative BC groups. In these datasets, there were few misclassifications by unbiased analysis. Progesterone receptor (PR) status should be considered in classification of breast cancer. Expressional consistency of ER and PR was observed in all samples.

The parallel use of LC-MS and GC-MS provided comprehensive distinct metabolites. We observed 64 most significantly regulated plasma metabolites between BC patients and NC group. They were classified as amino acids, free fatty acids (FFA), lysoPCs, lysophosphatidylethanolamines (lysoPEs), carnitines, and organic acids.

Comparison between HER2-positive and HER2-negative BC patients generated 40 differential metabolites. The principal metabolic changes in HER2-positive BC compared with HER2-negative BC included elevated aerobic glycolysis, enhanced gluconeogenesis, and increased fatty acid biosynthesis with reduced Krebs cycle and  $\Delta^9$  desaturase. The elevated level of lactic acid and decreased D-glucose in plasma of HER2-positive BC characterized the strong aerobic glycolysis (Warburg effect) in cancer cells [15]. Gluconeogenesis in HER2-positive BC was upregulated for energy supply, resulting in enriched consumption of amino acids in gluconeogenesis [16]. A significant enrichment in unsaturated fatty acids (UFAs) was found in HER2-positive BC, implying the increased UFAs probably resulted from the de nevo biosynthesis of fatty acids and enhanced  $\Delta$ -dehydrogenase during the cell proliferation and metastasis of HER2-positive BC [17].

The ER statuses in BC were considered. The present data suggested that the major altered pathways in ER-positive BC patients included elevated alanine, aspartate and glutamate metabolism, decreased glycerolipid catabolism, and enhanced purine metabolism, when compared with ER-negative group (Figure 4). Similar to HER2-positive BC group, lysoPCs were at low levels in ER-positive patients, corresponding to the strong negative correlation between cPLA2 $\alpha$  mRNA expression and ER expression levels [18]. Elevated level of glutamine in ER-positive patients clearly point to the perturbation of glutamate-to-glutamine ratio. This result is in agreement with previous observations [19].

	X		Subtype	Train	ing set (n=51)	Test set (n=45)
Carnitine		Alanine	Subtype	AUC (95% CI)	Sensitivity (%)/Specificity (%)	AUC (95% CI)
	Valine	1 A	Luminal A	0.922 (0.846-0.997)	82.4/90.9	0.887 (0.791-0.983)
LysoPC (20:4)	<b>1</b> 2-0A ↓	LysoPC (16:1)♥	Luminal B	0.958 (0.905-1.000)	100.0/90.9	0.920 (0.833-1.000)
Proline		GDCA	HER2- enriched	0.944 (0.882-1.000)	80.0/100.0	0.911 (0.829-0.994)
	$\overline{}$		Basal-like	0.875 (0.755-0.955)	70.0/90.0	0.855 (0.705-1.000)
HER2P vs HE		RP vs ERN	Average	0.925 (0.867-0.983)	83.1/93.0	0.893 (0.847-0.939)

2-OA: 2-Octenedioic acid; GDCA: Glycochenodeoxycholic acid.

**Figure 5: Combinational panel of 8 biomarkers and their diagnostic outcomes. A.** Venn diagram of the differential metabolites panels generated from the discrimination of different HER2 and ER statuses in breast cancer. The upward arrow represents an increased level of metabolite with the overexpression of HER2 (blue arrow) and ER (red arrow). LysoPC: lysophosphatidylcholine; 2-OA: 2-octenedioic acid; GDCA: glycochenodeoxycholic acid. B. Areas under the curve provided by the 8 biomarkers for the discrimination of BC subtypes in the training set and test set.

We identified a panel of 8 potential smallmolecule biomarkers for the diagnosis of BC subtypes. Carnitine, as an essential for the entry of fatty acid into the mitochondria for  $\beta$ -oxidation [20], was observed at a high level (FC=1.367, P<0.001) in HER2-positive group, which might lead to the activated metabolism of fats. LysoPC (20:4), metabolic products of PC by hydrolysis of phospholipase A2 [18], were at a high level (FC=1.299, P=0.020) in HER2-positive patients. The results corresponded to an increased expression of cytosolic phospholipase A2- $\alpha$  in HER2 over-expression BC cell lines [21]. The elevated amount of proline (FC=1.217, P=0.007) might indicate a suppressed proline oxidase in HER2-positive group [22]. Alanine was the most significantly decreased metabolite (FC=0.544, P<0.001) in ER-positive participants compared with ER-negative group [23]. The reduced lysoPC (16:1) (FC=0.786, P=0.002) in ER-positive patients showed relation with the activity inhibition of phospholipase A2 in MCF-7 BC cells [18]. The increased GDCA (FC=1.265, P=0.002) in ER-positive group was highly related to the enhanced proliferation of cancer cells, corresponding to its higher morbidity [24]. Valine and 2-OA were the co-markers in the discrimination of BC with different HER2 and ER expression levels. They are significantly increased in HER2-postive compared to HER2-negative but decreased remarkably in ER-positive compared to ER-negative groups. The abnormalities of value suggested the disorder of energy supply in HER2-positive (FC=1.187, P=0.002) and ER-positive (FC=0.682, P<0.001) patients. The marked regulation of 2-octenedioic acid was an indicator for the abnormal fatty acid metabolism in HER2-positive (FC=1.234, P<0.001) and ER-positive (FC=0.833, P<0.001) subjects [25].

The clinical predictive potential of the identified 8 biomarkers was highlighted in this work for BC subtypes. Average predictive accuracies at 88.5% (95% CI 83.3%-93.7%) were obtained for the training set and 85.6% (95% CI 80.9%-90.1%) for the test set. We also used a panel of 29 metabolites with VIPs>1.5 (metabolites in italic in Tables 2 and 3) instead of 8 metabolites with VIPs>2.5 for prediction of breast cancer subtypes. The average predictive accuracies increased to 97.1% (95% CI 93.0%-100.0%) for training sets and 95.6% (95% CI 92.7%-98.5%) for test sets. In consideration of the clinical use of 29 metabolites is difficultly popularized due to the limited standards, 8 metabolites with VIPs>2.5 were more applicable as the diagnostic biomarkers.

In conclusion, this study is a first clinical metabolic research for BC subtype classification. We demonstrate a clear move toward discovering the metabolomic drivers for the various BC subtypes. We suggest that plasma

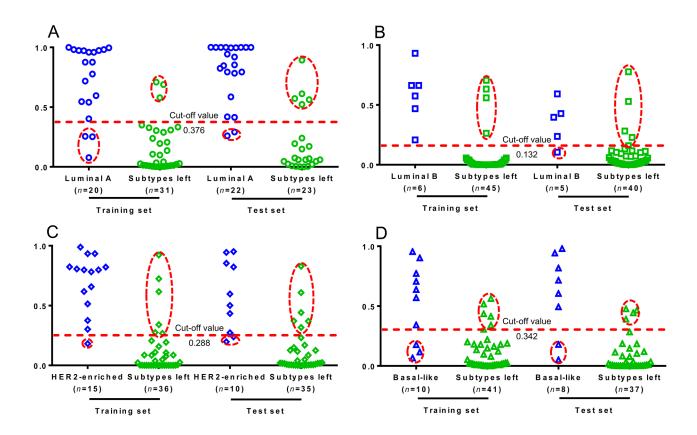


Figure 6: Prediction accuracies for BC subtypes based on the eight biomarkers. The prediction plots based on the optimal cut-off value for A. Luminal A, B. Luminal B, C. HER2-enriched, and D. Basal-like BC subtypes. Plot in hollow dashed circle represents samples with false prediction.

metabolomic test is faster, less costly, and noninvasive, and could be used as a pre-screen to other forms of more invasive or uncomfortable screening. These metabolomic data can also help to identify new therapeutic pathways from which novel agents might be developed. In future, we will undertake study of a larger prospective cohort to further validate the accuracy of this test. An evaluation of the mechanisms of BC subtypes by general and targeted metabolomics as well as other systematic biological approaches could be used.

# **MATERIALS AND METHODS**

#### **Clinical sample collection**

We collected plasma samples from 175 participants and all the subjects signed the informed consents before sample collection. In total, 96 BC patients, aged 31 to 82 years old, were enrolled in this work. This study was conducted with the guide of the Helsinki Declaration and the International Conference on Harmonization-Good Clinical Practices (ICH-GCP). This study was approved by the Institutional Review Boards of the First Affiliated Hospital of Nanjing Medical University, Jiangsu Province Hospital with approval number 2011-SRFA-058. The patients selecting protocol was set as follows: all the participants should sign the informed consent; patients diagnosed with BC should be confirmed by histology; patients should receive no surgical operation before this research; participants have sufficient heart, lung, liver, kidney, and hematopoietic functions with Eastern cooperative oncology group (ECOG) performance status  $\leq$  2, and weight loss < 10% in recent 6 months. Cancer stage was classified according to the 2002 Tumor Nodes Metastasis (TNM) staging system. Particularly, BC patients diagnosed with HER2 (-), HER2 (+), or HER2 (++) without gene amplification were defined as HER2negative BC. Patients diagnosed with HER2 (+ + +) or HER2 (++) with gene amplification were classified into the HER2-positive BC group. NC samples were collected from a total of 79 healthy volunteers between the ages of 24 and 86 according to the same sample collection protocol. All the samples were randomly classified into training set and test set. Detailed baseline characteristics of patients enrolled in this study were provided in Table 1.

Fasting blood samples collected in the morning from all the subjects were stored in K2 EDTA vacutainer tubes and cooled down in freezer (4°C) at once. They were then centrifuged at  $3000 \times g$  for 10 min at 4°C within 2 h. Supernatants (plasma) were transferred into new vials, and immediately stored frozen (-80°C) until sample preparation. The sample pretreatment methods for LC-MS and GC-MS were detailed in the methods provided in Methods S1.

As part of the quality control (QC) and system conditioning process, a pooled QC sample was prepared

by mixing equal volumes (10  $\mu$ L) of the collected 175 samples.

#### Statistical analysis

The acquired MS data from GC-Q/MS and UPLC-Q/TOF-MS in both positive and negative ion modes were imported into the SIMCA-P software (version 11.5, Umetrics) for multivariate analysis. GraphPad Prism 5 package was applied to plot the relative amount of each metabolite. Heatmaps and hierarchical cluster analysis (HCA) were conducted using the MeV software package (version 4.6.0), and the correlation network was established using the Cytoscape software package. ROC analysis and binary logistic regression were applied using SPSS version 19.

#### **Metabolites identification**

GC-Q/MS metabolites were identified by comparing the mass fragmentations with NIST 05 Standard mass spectral databases in NIST MS search 2.0 (NIST, Gaithersburg, MD) software with a similarity of more than 70% and finally verified by available reference standards. Differential metabolites obtained from positive and negative ion modes of UPLC-Q/TOF-MS analyses were identified with available reference standards in our lab and the web-based resources such as the Human Metabolome Database (http://www.hmdb.ca/) and METLIN (http:// metlin.scripps.edu/index.php) data source.

#### Metabolomics pathway analysis

Database sources, including the KEGG (http:// www.genome.jp/kegg/), MetaboAnalyst (http://www. metaboanalyst.ca/MetaboAnalyst/), Human Metabolome Database, and METLIN, were used for the identification of affected metabolic pathways.

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## **CONFLICTS OF INTEREST**

The authors declare that they have no non-financial competing interests.

#### **Authors' contributions**

L.-W.Q, P.L, W.Z, and M.-D.L. designed the research. Q.L and Y.C coordinated the experiments. Y.F performed the experiments and analyzed the data. Z.C and J.L coordinated the experiments and data analysis. X.Z and T.-S.X provided the blood samples. Y.F, and L.W.Q wrote the manuscript. R. N. A and M.-D.L. edited the manuscript. All authors have given final approval of the version to be published and agree to be accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved.

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