**Research Paper** 

# Platelet-derived growth factor receptor a in hepatocellular carcinoma is a prognostic marker independent of underlying liver cirrhosis

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#### ABSTRACT

Background and Aims: Platelet-derived growth factor receptor alpha (PDGFRa) is suggested as a prognosis marker for hepatocellular carcinoma (HCC). Since PDGFRa is also known as a marker for activated hepatic stellate cells (HSCs), this study aimed to investigate whether PDGFRa expression in HCC was dependent on the background liver fibrous condition.

Results: Strong PDGFRa expression in the tumor lesions was associated with decreased survival after curative HCC resection. Expression of PDGFRa in the tumor correlated with increased *collagen a1(I)*, *lecithin retinol acyltransferase*, and *smooth muscle a-actin* suggesting increased HSCs in tumor sites. The expression of PDGFRa in the tumor sites was associated neither with underlying liver fibrosis/cirrhosis nor with the expression of PDGFRa in adjacent non-tumor sites of the liver.

Materials and Methods: Patients with HCC who underwent liver resection as curative treatment were included in this study. Using liver samples of 95 patients, tissue microarray was constructed and immunohistochemical study of PDGFRa was conducted in both tumor and non-tumor sites. PDGFRa expression in tumor and matching nontumor sites was compared. Freshly frozen liver tissue specimens of 16 HCC patients were used for gene expression analysis of PDGFRa and fibrosis related genes.

Conclusions: Our results suggest that PDGFRa overexpression in HCC is a prognostic marker independent of adjacent non-tumor site liver fibrosis status.

#### **INTRODUCTION**

Chronic liver injury due to infectious, inflammatory or metabolic disorders often results in liver fibrosis and cirrhosis, which may predispose to hepatocellular carcinoma (HCC) [1, 2]. Although liver fibrosis and cirrhosis are well known risk factors for HCC, contribution of fibrous microenvironment to liver carcinogenesis has not been clearly elucidated. A molecular profiling study of HCC and adjacent non-tumor site reported that instead of the tumor itself, the gene signature of the adjacent nontumor site contained important molecular information on HCC recurrence and prognosis [3]. This supports the possible significant role of stromal microenvironment to HCC carcinogenesis or progression.

Platelet derived growth factors (PDGFs) are potent mitogen for hepatic stellate cells (HSCs) which comprises an important cellular component in liver fibrosis and cirrhosis [4, 5]. The PDGF ligand family, PDGF-A, B, C, and D transmit extracellular signals through tyrosine kinase receptors which consist of two subunits, plateletderived growth factor receptor (PDGFR)  $\alpha$  and PDGFR $\beta$ [6, 7]. Increased PDGFR $\alpha$  is noticeable in cirrhotic liver and its primary expression is reported to be in HSCs [8]. Stimulation of PDGFR and overexpression of PDGF ligands may induce HSC proliferation [9-12]. Interestingly, overexpression of PDGF-C in hepatocytes and stimulation of PDGFRa results in not only liver fibrosis but eventually development of HCC, suggesting the significant role of PDGFRa in liver fibrosis and HCC tumorigenesis [13]. However, cellular target of PDGF-C, on which PDGFRa should be abundant, is still not clear. In non-cancerous condition, expression of PDGFRa is noticed on nonparenchymal liver cells, mainly HSCs. Therefore it can be hypothesized that overexpressed PDGF-C would stimulate PDGFRa on HSCs, which in turn may lead to the activation and proliferation of the cells. These activated HSCs might contribute PDGFRa over-expression in HCC tumor sites. However, link between activation, proliferation of HSCs and HCC formation is still not clear.

Cellular source of PDGFR $\alpha$  is also under dispute. Increase of PDGFR $\alpha$  expression has been reported in cancerous hepatocytes [14], while another study demonstrated that they were non-parenchymal cells in tumor sites where PDGFR $\alpha$  were expressed [15]. In addition, although HCC is often preceded by liver fibrosis where increased PDGFR $\alpha$  expression is frequently detected, association of PDGFR $\alpha$  up-regulation in HCC and the condition of adjacent non-tumor site is to be more thoroughly investigated. If PDGFR $\alpha$  expression were to be associated with the status of underlying liver disease, it could have been suggested that characteristics of HCC were to be dependent on the background liver condition.

This study aimed to investigate whether PDGFR $\alpha$  expression in HCC is associated with the status of the underlying liver disease by assessing PDGFR $\alpha$  expression on tumor and matching non-tumor sites.

## RESULTS

#### **Patient characteristics**

Demographic findings of patients that were included in immunohistochemistry (IHC) analysis of PDGFR $\alpha$  are described in Table 1. Patients were 29-75 years in age (range, 53.2 ± 10.0), and mean follow-up time after surgery was 50.0 ± 39.3 months (range, 0-108). The etiology of underlying liver disease was HBV in 73 (76.8%), HCV in 6 (6.3%), alcohol in 3 (3.2%) and others in 13 (13.7%) patients. Pathologic liver cirrhosis, determined from histologic evaluation of liver non-tumor site, was identified in 64 (67.4%) patients.

Clinicopathologic characteristics of the patients were compared according to PDGFR $\alpha$  expression patterns (Table 2). Patients with strong PDGFR $\alpha$  expression on HCC showed similar gender ratio, higher proportion of

patients with AFP >200 ng/mL, and lower portion of patients with liver cirrhosis compared with patients with either no or moderate PDGR $\alpha$  stain.

# Expression of PDGFRα in tumor and reciprocal non-tumor sites: tissue microarray study

PDGFR $\alpha$  expression was evaluated by a single pathologist, blinded to the patients' clinical information. The assessment was done in both tumor and matching non-tumor site of each patient (Table 3). There was a significant difference in PDGFR $\alpha$  expression of tumor and non-tumor sites and strong expression of PDGFR $\alpha$  was not seen in non- tumor sites.

PDGFR $\alpha$  expression is high in embryonic liver and then declines to minimal levels in adult hepatocytes [14]. On the other hands, PDGFR $\alpha$  expression is known to be immensely increased in cirrhotic liver, mainly on αSMA positive non-parenchymal cells [15]. We evaluated whether PDGFR $\alpha$  expression in tumor sites were associated with underlying liver cirrhosis or non-tumor site PDGFR $\alpha$  expression (Table 4). The cases with weak intensity of PDGFRa stain were classified as negative in this analysis. Among 95 patients, 62 patients (65.3%) showed positive for PDGFRa on tumor sites. PDGFRa positivity on tumor sites was not associated with existence of pathologically detected liver cirrhosis on matching nontumor site. In addition, expression of PDGFRa on tumor sites had no relation with appearance of PDGFR $\alpha$  on reciprocal non-tumor sites (Table 4, Figure 1).

#### Association of PDGFRα expression and the clinical outcome after the curative HCC resection: tissue microarray study

Strong PDGFR $\alpha$  expression in tumor site was associated with decreased overall survival after curative HCC resection (*p*=0.001) (Figure 2).

Multivariate analysis test suggested that preoperatively elevated AFP above 200 ng/mL, existence of macrovascular invasion, having underlying liver diseases due to alcohol abuse were associated with poor survival in addition to strong PDGFR $\alpha$  positivity on tumor sites (Table 5).

PDGFR $\alpha$  positivity on tumor sites was not associated with HCC recurrence after curative resection (*p*=0.165) (Figure 3). Instead, multiple tumor mass, histologically high Edmonson grade and existence of macrovascular invasion were factors suggesting recurrence (Table 6).

#### Association of tumor site PDGFRα and fibrosis or cancer-associated fibroblast related genes

In order to evaluate whether PDGFR $\alpha$  expression on tumor site has association with genes for liver fibrosis or cancer-associated fibroblast, freshly frozen HCC

Variables	n=95
Age, years, median (range)	54 (29-75)
Gender (M:F)	78:17
Etiology of Liver Disease	
HBV	73 (76.8)
HCV	6 (6.3)
Alcohol	3 (3.2)
Others	13 (13.7)
Serum AFP, ng/mL (%)	
≤200	58 (61.1)
>200	37 (38.9)
Tumor number (%)	
Single	13 (13.7)
Multiple	82 (86.3)
Tumor size	
≤5cm	65 (68.4)
>5cm	30 (31.6)
Existence of satellite nodule	10 (10.5)
Existence of microvascular invasion (%)	56 (55.4)
Existence of macrovascular invasion (%)	2 (2.1)
Edmonson Grade (%)	
Grade 1, 2	46 (48.4)
Grade 3, 4	49 (51.6)
Existence of Pathologic Cirrhosis (%)	64 (67.4)

Table 1: Baseline characteristics of patients that underwent liver resection due to HCC

AFP, alpha-fetoprotein.

specimens with matching non-tumor sites were used for mRNA quantification. Gene expression on normal liver, obtained from non-tumor sites of resected liver due to colon cancer metastasized to the liver, served as the control. Summary of the patients with PDGFR $\alpha$  mRNA expression in tumor and non-tumor site is described in Table 7.

Tumor site PDGFR $\alpha$  expression was correlated with increased *collagen*  $\alpha I(I)$  (*Coll* $\alpha(I)$ ) mRNA on tumor site (Table 8). Increased PDGFR $\alpha$  mRNA was also associated with increased *lecithin retinol acyltransferase* (*Lrat*), which is the marker for both activated and quiescent HSCs, and elevated *smooth muscle*  $\alpha$ -*actin* ( $\alpha$ SMA) expression, which is known as the marker for activated HSC and cancer-associated fibroblast. However, increased tumor site PDGFR $\alpha$  appeared to have no relation with non-tumor site PDGFR $\alpha$ , *Coll* $\alpha(I)$ , and  $\alpha$ SMA expression.

# Association of underlying liver cirrhosis and gene expression pattern

Since  $PDGFR\alpha$ ,  $Coll\alpha(I)$ , Lrat,  $\alpha SMA$ , and lysyloxidase homolog 2 (LOXL2) are known as the marker for liver fibrosis, expression of these genes in non-tumor site was assessed in association with the existence of liver cirrhosis [16]. The analysis showed that except Lrat, which is also a marker for quiescent HSC, expression of  $Coll\alpha(I)$ ,  $\alpha SMA$ , and LOXL2 increased with accompanying liver cirrhosis in non-tumor site (Figure 4). On the other hands, expression of  $PDGFR\alpha$  and  $\alpha SMA$  in tumor site did not affected by underlying liver cirrhosis.

## DISCUSSION

In accordance with other previous studies [14, 17, 18], our study also demonstrated that strong PDGFR $\alpha$  expression

Baseline characteristics	No stain (n=33)	Positive (n=56)	Strong Positive (n=6)	Р
Age, years, median (range)	54 (33-71)	53 (29-75)	47 (34-63)	0.337
Gender (M:F)	29:4	46:10	3:3	0.092*
Etiology of Liver Disease				0.181
HBV	25 (75.8)	45 (80.4)	3 (50.0)	
HCV	0 (0.0)	5 (8.9)	1 (16.7)	
Alcohol	2 (6.1)	1 (1.8)	0 (0.0)	
Others	6 (18.2)	5 (8.9)	2 (33.3)	
Serum AFP, ng/mL (%)				0.044*
≤200	19 (57.6)	38 (67.9)	1 (16.7)	
>200	15 (42.4)	18 (32.1)	5 (83.3)	
Tumor number (%)				0.453
Single	6 (18.2)	7 (12.5)	0 (0.0)	
Multiple	27 (81.8)	49 (87.5)	6 (100)	
Tumor size				0.954
≤5cm	22 (66.7)	39 (69.6)	4 (66.7)	
>5cm	11 (33.3)	17 (30.4)	2 (33.3)	
Existence of satellite nodule	1 (3.0)	9 (16.1)	0 (0.0)	0.105
Existence of microvascular invasion (%)	3 (9.1)	11 (19.6)	2 (33.3)	0.235
Existence of LN metastasis (%)	1 (3.0)	1 (1.8)	0 (0.0)	0.863
Edmonson Grade (%)				0.284
Grade 1, 2	18 (54.5)	27 (48.2)	1 (16.7)	
Grade 3, 4	15 (45.5)	29 (51.8)	5 (83.3)	
Existence of Pathologic Cirrhosis (%)	20 (60.6)	42 (75.0)	2 (33.3)	0.068*

Table 2: Association between baseline clinicopathologic characteristics and PDGFRa exp	ression
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PDGFR $\alpha$ , platelet-derived growth factor  $\alpha$ ; AFP, alpha-fetoprotein; LN, lymph node, Percent is calculated within the group with the similar PDGFR $\alpha$  expressing patients; \**P* values that are <0.1 are considered to be significant.

N=95		Tum	or Site			Non-Tu	ımor Site		Р*
	No stain N (%)	Weak N (%)	Moderate N (%)	Strong N (%)	No stain N (%)	Weak N (%)	Moderate N (%)	Strong N (%)	
PDGFRa	19 (20.0)	14 (14.7)	56 (58.9)	6 (6.3)	4 (1.2)	19 (20.0)	72 (75.8)	0 (0.0)	0.000*

PDGFR $\alpha$ , platelet-derived growth factor  $\alpha$ ; \* *P* values that are <0.05 are considered to be significant when PDGFR $\alpha$  expressions are compared between tumor and non-tumor sites.

in tumor sites was associated with poor survival outcome after HCC resection. However, the expression of PDGFR $\alpha$  did not necessarily associated with underlying liver fibrosis/cirrhosis or the expression of PDGFR $\alpha$  in adjacent non-tumor site

of the liver. This result suggests that PDGFR $\alpha$  positivity in HCC does not necessarily associated with the process of liver fibrosis/cirrhosis in the background liver, but demonstrates the unique characteristics of HCC with poor prognosis.

<b>Table 4: Association</b>	of PDGFRa in	n tumor site and liver cirrhosis	5
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N=95	Tumo	or Site	
	PDGFRα (-)	PDGFRa (+)	-
Non-tumor site			
PDGFRa (-)	6 (6.3%)	17 (17.9%)	<i>P</i> =0.451
PDGFRa (+)	27 (28.4%)	45 (47.4%)	
Pathologic Liver Cirrhosis			
(-)	13 (13.7%)	18 (18.9%)	<i>P</i> =0.610
(+)	20 (21.1%)	44 (46.3%)	

Number of the patients from the total patients (n=95) is expressed in percent. PDGFR $\alpha$ , platelet-derived growth factor  $\alpha$ .



**Non-Tumor Site** 

Tumor Site





PDGFRa is reported to be up-regulated in the injured liver with fibrosis and beginning to be recognized as a potential mediator of HSC activation, leading to liver fibrosis [15, 19, 20]. PDGFRa mRNA is highly expressed in aSMA positive HSCs, an important cellular component in the liver that contributes to generation and progression of fibrosis [21]. A recent study also demonstrated that reducing PDGFRa signaling in heterozygous PDGFRa mice showed alleviation of liver fibrosis from CCl, injury [15]. On the other hands, excessive activation of PDGFRα signaling in PDGF-C transgenic mice resulted in liver cirrhosis by 9 months, and interestingly, these mice with cirrhosis develop liver cancer in the later stage, resembling human HCC with background liver cirrhosis [13]. In our study with human HCC samples, tumor site PDGFRa expression does not necessarily associated with the underlying liver cirrhosis and PDGFRa expression in the adjacent non-tumor sites.

Although PDGFR $\alpha$  expression in HCC may not be the consequence of liver fibrosis/cirrhosis, it should be emphasized that this suggestion does not preclude the possible communication between HSCs and tumor cells in the liver. HSC is one of the most important cellular components in the liver that contributes to generation and progression of fibrosis [22]. Upon liver injury, quiescent HSC transdifferentiate to extracellular matrix-producing myofibroblasts and overexpress various genes which is regarded as fibrosis related markers such as  $\alpha$ -SMA and PDGFR $\alpha$  [23]. With the decades of the study, it has been widely recognized that HSCs seem to contribute in HCC progression [24, 25], when mechanism of this contribution is yet to be delineated.

In other solid tumors including pancreas cancer or intrahepatic cholangiocarcinoma, the abnormal activity of neoplastic epithelium is thought to stimulate stromal fibroblasts, resulting in the cancer associated desmoplastic response [26]. These abnormally stimulated fibroblasts are  $\alpha$ -SMA positive cells and often designated as "cancer-associated fibroblasts (CAF)". In cases of HCC,  $\alpha$ -SMA positive HSCs contribute generation of liver fibrosis and cirrhosis in non-neoplastic liver, and previous HCC molecular profiling study demonstrated that the gene signature of the non-tumor tissue, adjacent to the tumor site, contains critical molecular information on HCC prognosis [3]. Although this signature study supports the important role of fibrosis/cirrhosis as a microenvironment



Figure 2: Survival after the curative resection of hepatocellular carcinoma (HCC) according to PDGFRa expression in tumor site. Patients with strong PDGFRa expression in tumor site has lowest survival rate (dashed line) when analyzed by log-rank test (p=0.001).

	Univariate	Multivariate	<b>P</b> *
	<b>P</b> *	Hazard Ratio (95% CI)	_
Gender, (Male Sex)	0.404		
Age, (<45 vs ≥45)	0.341		
AFP, ng/mL, (≥200)	0.002*	2.120 (1.143-3.930)	0.017*
Existence of liver cirrhosis	0.531		
Tumor size, (≤5cm vs >5cm)	0.139		
Tumor number, (single vs multiple)	0.304		
Existence of satellite nodule	0.643		
Edmonson grade, (>2)	0.003*		
Existence of microvascular invasion	0.005*		
Existence of macrovascular invasion	0.003*	6.016 (1.293-27.990)	0.022*
LN metastasis	0.003*		
Etiology of liver disease (Alcohol)	0.039*	8.945 (2.387-33.525)	0.001*
Expression of PDGFRα (Strong)			
Tumor site	0.001*	5.462 (1.799-16.582)	0.003*
Non-tumor site	0.171		

Table 5: Analysis of factors associated with overall survival after hepatocellular carcinoma resection in chronic HBV patients

AFP, alpha-fetoprotein; LN, lymph node; PDGFR $\alpha$ , platelet-derived growth factor receptor  $\alpha$ , CI, confidence interval; \**P* values that are <0.05 are considered to be significant.



Figure 3: Disease free survival after the curative resection of hepatocellular carcinoma (HCC) according to PDGFRa expression in tumor sites. PDGFRa positivity on tumor sites was not associated with HCC recurrence and disease free survival after curative resection (p=0.165).

	Univariate	Multivariate	Р*	
	<i>P</i> *	Hazard Ratio (95% CI)	•	
Gender, (Male Sex)	0.599			
Age, (<45 vs ≥45)	0.583			
AFP, ng/mL, (≥200)	0.098*			
Existence of liver cirrhosis	0.673			
Tumor size, (≤5cm vs >5cm)	0.040*			
Tumor number, (single vs multiple)	0.011*	3.378 (1.172-9.742)	0.024	
Existence of satellite nodule	0.449			
Edmonson grade, (>2)	0.002*	2.324 (1.135-4.761)	0.021	
Existence of microvascular invasion	0.283			
Existence of macrovascular invasion	0.000*	48.382 (6.303-371/364)	<0.000	
Existence of LN metastasis				
Etiology of liver disease (Other than virus or alcohol)	0.546			
Expression of PDGFRa (Strong)				
Tumor site	0.165			
Non-tumor site	0.957			

Table 6: Analysis of factors associated with disease-free survival after hepatocellular carcinoma resection in chronic HBV patients

AFP, alpha-fetoprotein; LN, lymph node; PDGFR $\alpha$ , platelet-derived growth factor receptor  $\alpha$ , CI, confidence interval; \**P* values that are <0.05 are considered to be significant.

Patient No	Etiology of Liver Disease	AFP* (ng/dL)	· · · · · · · · · · · · · · · · · · ·		
			_	Non-tumor	Tumor
1	Others	9.9	YES	5.1836	2.0229
2	HBV	2.2	NO	1.1126	0.2511
3	HBV	4.0	NO	1.2189	2.3278
4	HBV	10.5	YES	8.3916	0.1800
5	HBV	159.4	YES	3.9832	0.6502
6	HBV	4.5	NO	1.3416	0.0338
7	HBV	3.9	NO	0.3515	0.8131
8	HBV	308.0	NO	2.2060	0.001
9	HBV	222336.0	NO	3.1909	2.5517
10	HBV	3.1	YES	1.9305	1.9744
11	Others	2.1	NO	0.0836	2.2214
12	HBV	24	YES	6.0166	0.1916
13	HBV	5.3	NO	1.8615	0.0161
14	HBV	3.3	YES	11.5230	2.1494
15	HBV	29.70	NO	4.8955	24.1081
16	HCV	57.5	YES	11.6434	40.6855

Table 7: Summary of hepatocellular carcinoma patients under fresh liver tissue evaluation

\* Preoperative serum AFP.

<sup>†</sup> Liver cirrhosis is detected by pathologic evaluation.

‡ PDGFRα RNA fold changes in tumor and non-tumor sites are expressed in relative to PDGFRα expression in normal liver from specimens from patients with metastatic colon cancer.

Genes	Correlation with PDGFRa in Tumor sites	P value	
Tumor Sites			
Colla(I)	0.472	0.023	
Lrat	0.494	0.017	
aSMA	0.497	0.016	
LOXL2	0.142	0.517	
Non-Tumor Sites			
Colla(I)	0.233	0.284	
Lrat	0.228	0.295	
aSMA	0.160	0.466	
LOXL2	0.221	0.310	
PDGFRa	0.245	0.260	

 $Col1\alpha(I)$ , collagen  $\alpha 1(I)$ ; Lrat, lecithin retinol acyltransferase;  $\alpha$ SMA,  $\alpha$  smooth muscle actin; LOXL2, lysyl oxidase homolog 2.

generating HCC, this does not indicate that HSCs are the cancer-associated fibroblasts in HCC. It may not be even appropriate to conclude that PDGR $\alpha$  expressing HSC are the source of PDGFR $\alpha$  in HCC with poor prognosis.

The source of PDGFR $\alpha$  in HCC still carries many controversies and disputes. While several studies reported that PDGFR $\alpha$  positive cells in tumor sites are malignant cells themselves [14, 17, 18], another study argued that those were invaded non-parenchymal cells that showed PDGFR $\alpha$  positive [15]. In this study, we could not clearly differentiate PDGFR $\alpha$  positive non-parenchymal cells from hepatocytes since PDGFR $\alpha$  positive specimens demonstrated extensive brown staining in the target sections. However, when the same staining technique was applied, in PDGFR $\alpha$  negative sections, we could still identify some brown stained non-parenchymal cells with negatively stained parenchymal cells. In addition, a previous study demonstrated that overexpression of PDGFR $\alpha$  in Hep3B promoted cell proliferation, migration and invasion that are hallmarks of cancer cells [18]. Further studies on PDGFR $\alpha$  in HCC are expected to settle this dispute on the source of PDGFR $\alpha$  in HCC.



**Figure 4: Expression of PDGFRα and other fibrosis related genes in (A) non-tumor sites, and (B) tumor sites according to the existence of liver cirrhosis.** Freshly frozen HCC specimens with matching non-tumor sites were used for mRNA quantification. Gene expression on normal liver, obtained from non-tumor sites of resected liver due to colon cancer metastasized to the liver, served as the control.

Although non-parenchymal cells in HCC might not be the major source of PDGFR $\alpha$ , non-parenchymal cells, especially HSCs, still seem to play an important role in PDGFRa positive HCC. Our study showed that PDGFRa expression in tumor site was associated with increased Lrat expression in tumor site. Since Lrat can only be expressed in HSCs and not in CAF, it can be speculated that PDGFRa expression in HCC might be associated with increased recruitment of HSCs in the tumor site. Moreover, previous fate tracing study revealed that HSCs are the dominant myofibroblasts in toxic, cholestatic and fatty liver injury [27], and it can be speculated that rise of HCC might have stimulated adjacent HSCs, incorporating them within the tumor matrix. However, this tumor stimulated HSC activation might not necessarily be related with underlying liver fibrosis/cirrhosis.

Although studies suggested that strong expression of PDGFRα was associated with decreased survival [14, 17, 18], the proportion of PDGFRa strong positive patients showed some discrepancies. This study and another previous study reported that PDGFRa consisted only about 6-7% [17], when the other studied described that high PDGFRa was seen in about 38% of HCC patients [18]. Very low proportion of PDGFRa strong positive patients would hinder the usefulness of PDGFRa as HCC target. On the other hands, it has been investigated that overexpression of vascular endothelial growth factor (VEGF) which was shown in about 20% of HCC patients tended to result in shortened overall survival [17], and inhibition and MET in MET-positive HCC which consist about 30-40% of HCC patients resulted in decreased tumor burden [28, 29]. Further studies that provide more consistent information on the proportion of PDGFRa positive HCC would put PDGFRα as a target for HCC treatment in the future.

There are several limitations in this study. Firstly, for the tissue microarray analysis, the results are limited by small number of PDGFRa strong positive HCC specimens. As another previous study [17] reported, our study also showed that about 70% of HCC had PDGFRα positive. On the other hands, very small number of patient had strong PDGFRa positivity which predisposed poor prognosis after the curative liver resection. This study cannot explain the pathophysiological difference in moderately positive and strong positive expression of PDGFRa since this study is an observational study using human specimens. Secondly, estimation from frozen human liver specimens may be limited by small sample size. In addition, even though we used liver specimens from the resected liver due to colon cancer with liver metastasis, these specimens may not be truly normal due to the metastasized cancer cells. Thirdly, as we have stated earlier, our study could not clearly identify the source of PDGFR $\alpha$  in HCC and differentiated PDGFRa positive non-parenchymal cells from parenchymal cells.

In spite of these limitations, this study also showed that PDGFR $\alpha$  expression is a poor prognostic marker for

HCC after the curative surgical treatment, independent of underlying liver cirrhotic condition. Although PDGFR $\alpha$ is known to be abundantly expressed in activated HSCs, PDGFR $\alpha$  in tumor sites was not associated with underlying liver fibrosis/cirrhosis. Instead, PDGFR $\alpha$ expression in HCC was accompanied by enhanced Lrat expression, suggesting increased HSC residence in tumor sites.

## MATERIALS AND METHODS

#### Liver specimens and patient information

This observational, retrospective study used paraffin-embedded HCC samples from surgical resection and freshly frozen liver tissue archived at the Tissue Bank. This study was approved by the institutional review board of Inha University Hospital (Incheon, Korea) and Yonsei University College of Medicine Gangnam Severance Hospital (Seoul, Korea).

The paraffin-embedded 95 HCC samples were from the patients who underwent liver resection between January 2000 and August 2010 at Inha University Hospital. Patients were 29-75 years in age (range, 53.2  $\pm$  10.0, mean  $\pm$  standard deviation (SD)), consisted of 78 males and 17 females. Mean follow-up time after surgery was  $50.0 \pm 39.3$  months (range, 0-108). These patients received no preoperative treatment for HCC and had curative liver resection. These 95 patients were regularly followed up for HCC recurrence. AFP measurements were done every 3 months, and dynamic CT was regularly performed with the interval no longer than 6 months. All the patients were followed until the time of death or for at least 12 months. When a new lesion was detected by abdominal CT, evaluation for HCC recurrence was performed. When the liver CT showed compatible findings with HCC with accompanied AFP elevation, recurrence was diagnosed. However, if vascular pattern was not typical on liver CT, liver MRI and/or hepatic angiogram (HA) was performed. When the nodule showed atypical pattern on all the imaging studies, the nodule was followed up with the interval no longer than 3 months. In case of elevated AFP without evidence of newly appeared lesion on CT, magnetic resonance imaging (MRI) and/or HA was performed. Histopathologic analysis was performed on whole tissue section and the variables recorded for each case included tumor size, differentiation according to Edmondson-Steiner grade, presence of multiple tumors, microvascular and major vessel invasion, and background cirrhosis.

The freshly frozen liver tissue was archived at the Tissue Bank of Yonsei University College of Medicine, Gangnam Severance Hospital after the acquisition of patients' consent. From the bank, 16 HCC specimens with matching non-tumor site liver tissue were used for the analysis. Non-tumor site of the liver tissue resected from colon cancer patients due to liver metastasis (n=7) served as the normal control. Patient with HCC had liver resection between January 2012 and December 2014. Patients were 24-69 years in age (range,  $57 \pm 10.6$ ), consisted of 9 males and 7 females. Mean follow-up time after surgery was 34  $\pm$  21.6 months (range, 2.5-36.7). These patients received no preoperative treatment for HCC and had curative liver resection.

#### **Tissue microarray construction**

Core tissue biopsies were taken from paraffinembedded donor blocks and arranged in corresponding recipient tissue-array blocks using homemade recipient agarose-paraffin blocks as previously described [30]. At least 2 cores were sampled from the target lesions. When there were multiple nodules, the largest nodule served as the target lesion of the tumor site. Target lesions consisted of tumor sites and corresponding non-tumor sites for each patient.

#### Immunohistochemistry (IHC) analysis

Immunohistochemical stain using mouse monoclonal antibody against PDGFRa (SC-338, Santa Cruz, CA, 1:50 dilution) was performed as previously described on other studies [31]. The result was interpreted in a semiquantitative manner. In cases of positive cells < 5% was considered as negative stain, and those > 5% was positive. In the positive cases, the scoring was performed according to the strength of the immunostaining as weak staining, moderate staining, and strong staining.

#### RNA extraction and gene expression analysis by quantitative real-time polymerase chain reaction (PCR)

Total RNA was extracted from frozen whole using Trizol reagent (Invitrogen, Carlsbad, CA, USA) or Qiagen mini columns (Quiagen Inc. Valencia, CA, USA) according to the manufacturer's protocol. RNA samples were quantified by spectrophotometry. The RNA integrity was assessed using agarose gel electrophoresis and ethidium bromide staining. The RNA samples were then diluted in RNase-free water and stored at -70 °C until use. Five micrograms of RNA were reverse-transcribed using RNA PCR kit version 1.2 (Takara Bio Inc, Japan) according to the manufacturer's recommendations. Oligonucleotide primers and TagMan probe for PDGFRa, Colla(I), Lrat, aSMA, and LOXL2 were used with 18S as an internal control. The probes were obtained from Applied Biosystems (Perkin-Elmer/PE Applied Biosystems, Forster City, CA, USA), purchased as a ready-for-use form in Assays-on-Demand Gene Expression Products. The TaqMan probes was labeled at the 5' end with the reporter dye FAM and minor groove binder (MGB) nonfluorescent quencher on the 3'end. The quantitative PCR was performed in triplicate for each sample on Step One Plus Real Time System (Applied Biosystems). Each 20- $\mu$ L reaction contained 10 uL of TaqMan Fast Universal Master Mix (Applied Biosystems, Darmstadt, Germany), 1 uL of Gene Expression Mix and 2 uL of cDNA diluted in 7  $\mu$ L RNase-free water. The thermal cycler conditions were 20 seconds at 95°C, and 40 cycles of 5 seconds at 95°C followed by 20 seconds at 60°. Fold change of mRNA in target genes relative to the endogenous 18S control were calculated as suggested on previous studies [32].

#### Statistical analysis

Categorical variables were compared using twosided  $\chi^2$ -test or Fisher's exact test when appropriate, and continuous variables were compared using the independent sample *t*-test or Kruskall-Wallis test. Factors predicting HCC recurrence and survival were analyzed using Cox proportional hazard model. Variables with p<0.05 from two-tailed test in univariable analysis were included in the multivariable model. These models were considered using conditional selection procedures. Hazard ratios (HRs) were presented with 95 percent confidence interval. *P*<0.05 from two-tailed test was considered significant for multivariate analysis. Statistical analyses were performed using SPSS software version 15.0 (SPSS, Chicago, IL).

#### Abbreviations

HCC, hepatocellular carcinoma; PDGF, platelet derived growth factor; HSC, hepatic stellate cell; PDGFR, platelet-derived growth factor receptor; SD, standard deviation; HA, hepatic angiogram; MRI, magnetic resonance imaging; IHC, immunohistochemistry; PCR, polymerase chain reaction; Col1 $\alpha$ (I), collagen 1 $\alpha$ (I); Lrat, lecithin retinol acyltransferase;  $\alpha$ SMA, smooth muscle  $\alpha$ -actin; LOXL2, lysyl oxidase homolog 2; MGB, minor groove binder; HR, hazard ratio; VEGF, vascular endothelial growth factor.

#### **Author contributions**

Yu JH: performed literature search and selection, data extraction and analysis, drafted manuscript.

Kim JM: constructed tissue microarray and performed pathologic analysis.

Kim JK: performed literature search and selection, data extraction and analysis.

Choi SJ: constructed tissue microarray and performed pathologic analysis.

Lee KS, Lee JW: gave critical comments.

Chang HY: perform experiments, data selection and analysis.

Lee JI: designed study, perform experiments, data selection and analysis, quality assessment, draft manuscript.

# **CONFLICTS OF INTEREST**

The authors declare that they have no conflict of interest.

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