

BIM deletion polymorphisms in Hispanic patients with non-small cell lung cancer carriers of EGFR mutations

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ABSTRACT

Background: Germline alterations in the proapoptotic protein Bcl-2-like 11 (BIM) can have a crucial role in diverse tumors. To determine the clinical utility of detecting BIM deletion polymorphisms (par4226 bp/ par363 bp) in EGFR positive non-small-cell lung cancer (NSCLC) we examined the outcomes of patients with and without BIM alterations.

Results: BIM deletion was present in 14 patients (15.7%). There were no significant differences between patients with and without BIM-*del* in clinical characteristics or EGFR mutation type; however, those with BIM-*del* had a worse overall response rate (ORR) to erlotinib (42.9% vs. 73.3% in patients without BIM-*del*; $p=0.024$) as well as a significantly shorter progression-free survival (PFS) (10.8 BIM-*del*+ vs. 21.7 months for patients without BIM-*del*; $p=0.029$) and overall survival (OS) (15.5 BIM-*del*+ vs. 34.0 months for patients without BIM-*del*; $p=0.035$). Multivariate Cox regression analysis showed that BIM-*del*+ was an independent indicator of shorter PFS (HR 3.0; 95%CI 1.2-7.6; $p=0.01$) and OS (HR 3.4; 95%CI 1.4-8.3; $p=0.006$).

Methods: We studied 89 NSCLC Hispanic patients with EGFR mutation who were treated with erlotinib between January 2009 and November 2014. BIM deletion polymorphisms (BIM-*del*) was analyzed by PCR in formalin-fixed paraffin-embedded

(FFPE) tissues of tumor biopsies. We retrospectively analyzed clinical characteristics, response rate, toxicity, and outcomes among patients with and without BIM-del.

Conclusions: The incidence of BIM-del found in Hispanic patients is similar to that previously described in Asia. This alteration is associated with a poor clinical response to erlotinib and represents an independent prognostic factor for patients who had NSCLC with an EGFR mutation.

INTRODUCTION

Lung cancer is the leading cause of cancer related death in the developed countries and in Latin America, and non-small-cell lung cancer (NSCLC) accounts for most cases [1, 2]. Activating mutations in the epidermal growth factor receptor (*EGFR*) as a therapeutic target for NSCLC has changed the course of the disease [3]. The frequency of *EGFR* mutations vary according to the population; in Caucasians *EGFR* mutations occurs in 10 to 15%, whereas in East Asia and Latin America these are more frequent occurring in 30 to 50% of lung adenocarcinoma patients [4–6]. *EGFR* tyrosine kinase inhibitors (TKIs), such as gefitinib, erlotinib, and afatinib, are widely used to treat advanced NSCLC harboring an *EGFR* mutation. Such drugs have improved the progression free survival (PFS), overall survival (OS) and quality of life compared with first line platinum-based doublet chemotherapy [7–10]. However, drug resistance invariably emerged and most patients develop recurrence within 10 to 16 months after initial *EGFR*-TKI treatment (acquired resistance) [11]. Several mechanisms of secondary resistance have been revealed, including: *EGFR* T790M mutation (the most frequent), mesenchymal-epithelial transition, *MET* amplification, phosphatidylinositol-4-5-bisphosphate 3-kinase mutations (*PI3K*) and small-cell lung cancer transformation [12–15]. Nevertheless, around 30% of patients with *EGFR*-activating mutations do not show objective response (OR) to *EGFR* TKIs (primary resistance) [7, 8]. The mechanisms and characteristics of primary resistance are less known and none of these explain the majority of cases. Some of mechanisms of primary resistance include: v-Ki-ras2 Kirsten rat sarcoma viral oncogene homolog (*KRAS*) mutations, de novo *MET* amplification, and phosphatase and tensin-homolog (*PTEN*) loss [16–19]. An interesting mechanism related with germline polymorphisms is proapoptotic protein Bcl-2-like 11 (BIM) which has been described and could potentially explain primary resistance to *EGFR* TKIs [20].

BIM is a member of the B-cell CLL/Lymphoma 2 (Bcl-2) family of proteins and has been related with apoptosis modulation triggered by *EGFR*-TKIs [21–23]. BIM deletion polymorphisms (BIM-del) consist of intronic deletion polymorphisms in the gene. These polymorphisms switched BIM splicing from exon 4 to exon 3, which resulted in expression of BIM isoforms lacking the proapoptotic Bcl-2-homology domain 3 (BH3) [20]. These germline alterations could have a crucial role in determining how a tumor responds to *EGFR*-TKIs; however, few studies (none from Latin America) have

examined the clinical usefulness of detecting BIM deletion polymorphisms and its relation with clinical characteristics in *EGFR* positive NSCLC. To determine the usefulness of detecting BIM-del in patients with *EGFR* mutation-positive NSCLC, we examined the outcomes of Hispanic patients with and without BIM alterations.

RESULTS

Demographic and clinicopathologic characteristics

The characteristics of the patients included in the study are summarized in Table 1. As expected in *EGFR* mutated patients, adenocarcinoma histology and non-smokers were both frequent characteristics. *EGFR* common mutations were present in the majority of patients (84/89 patients) including deletion of exon 19 (46 patients) and L858R (38 patients). BIM-del was present in 14 patients (15.7%). There were no significant differences between patients with and without BIM-del regarding clinical characteristics or type of *EGFR* mutation, but a difference was obtained with previous tobacco exposure ($p = 0.04$) (Table 2).

Response to TKI therapy and survival

There was a significant difference in ORR between patients with and without BIM-del. Patients who were BIM-del+ had a worse ORR to erlotinib compared to patients with a BIM del- (42.9% vs. 73.3%; $p=0.024$) (Table 3). There was no difference in ORR to chemotherapy between BIM-del+ and BIM del- populations (Table 3). Overall survival (OS) was 32.9 months (95% CI 31.1-34.6) and overall PFS was 19.5 months (95% CI 9.7-25.4) (Figure 1A and 1B). Patients with BIM-del+ had a significantly shorter PFS (10.8 vs. 21.7 months for those patients without BIM-del; $p=0.029$) (Figure 2A) and detrimental OS (15.5 vs. 34.0 months for patients without BIM-del; $p=0.035$) (Figure 2B). Multivariate Cox regression analysis showed that BIM-del was an independent indicator of shorter PFS (HR 3.0; 95%CI 1.2-7.6; $p=0.01$) and OS (HR 3.4; 95%CI 1.4-8.3; $p=0.006$) (Table 3).

Toxicity

Thirty-eight (42.6%) patients suffered grade 3 or 4 adverse event. Most patients experienced rash (36%), fatigue (30%), diarrhea (16%) and anorexia (10%), but no unexpected serious adverse reactions were reported. Major toxicity was not influenced by BIM-del ($p=0.68$).

Table 1: Patient characteristics according to Bcl-2-Like Protein 11 (BIM) deletion polymorphism

Variable	N = 89 (%)	<i>BIM-del+</i> N=14 (%)	<i>BIM del-</i> N=75 (%)	P-value
Gender				
Female	62 (69.7)	9 (64.3)	53 (70.7)	0.06
Male	27 (30.3)	5 (35.7)	22 (29.3)	
Age, mean				
	59.4 (+/- 14.3)	52.6 (+/- 13.7)	60.8 (+/- 11.8)	0.07
>60 years	50 (56.2)	5 (35.8)	45 (60.0)	
<60 years	39 (43.8)	9 (64.2)	30 (40.0)	
ECOG				
0	11 (12.4)	2 (14.3)	9 (12.0)	0.54
1	44 (49.4)	5 (35.7)	39 (52.0)	
2	31 (34.8)	7 (50.0)	24 (32.0)	
3	3 (3.4)	-	3 (4.0)	
ND	-	-	-	
Stage				
IIIA	1 (1.1)	-	1 (1.3)	0.78
IIIB	4 (4.5)	-	4 (5.3)	
IV	84 (94.4)	14 (100.0)	70 (93.3)	
Histology				
Adenocarcinoma	87 (97.8)	14 (100.0)	73 (96.8)	0.63
LCC	1 (1.1)		1 (1.6)	
NOS/ Adenosquamous	1 (1.1)		1 (1.6)	
Histologic pattern (adenocarcinoma)				
Lepidic	9 (10.1)	2 (14.3)	7 (9.3)	0.53
Acinar	10 (11.2)	-	10 (13.3)	
Papillary	17 (19.1)	2 (14.3)	15 (20.0)	
Micropapillary	17 (19.1)	3 (21.4)	14 (18.7)	
Solid	4 (4.5)	-	4 (5.3)	
ND	32 (36.0)	7 (50.0)	25 (33.3)	
Smoking history				
Never	50 (56.2)	11 (78.6)	39 (52.0)	0.04
Former/Current	37 (41.6)	3 (21.4)	34 (45.3)	
ND	2 (2.2)		2 (2.7)	
Pleuro/pulmonary metastases				
Yes	44 (49.4)	5 (35.7)	39 (52.0)	0.60

(Continued)

Variable	N = 89 (%)	<i>BIM-del+</i> N=14 (%)	<i>BIM del-</i> N=75 (%)	P-value
No	40 (44.9)	9 (64.3)	31 (41.3)	
ND	5 (5.6)	-	5 (6.7)	
CNS metastases				
Yes	34 (38.2)	6 (42.9)	28 (37.3)	0.58
No	48 (53.9)	8 (57.1)	40 (53.3)	
ND	7 (7.9)	-	7 (9.3)	
Liver metastases				
Yes	33 (37.1)	7 (50.0)	26 (34.7)	0.72
No	50 (56.2)	7 (50.0)	43 (57.3)	
ND	6 (6.7)	-	6 (8.0)	
Bone metastases				
Yes	39 (43.8)	6 (42.9)	33 (44.0)	0.65
No	48 (53.9)	8 (57.1)	40 (53.3)	
ND	2 (2.2)	-	2 (2.7)	
Lymph node metastases				
Yes	43 (48.4)	10 (71.4)	33 (44.0)	0.60
No	46 (51.6)	4 (28.6)	42 (56.0)	
Weight loss				
Yes	45 (50.6)	7 (50.0)	38 (50.7)	0.78
No	40 (44.9)	6 (42.9)	34 (45.3)	
ND	4 (4.5)	1 (7.1)	3 (4.0)	

LCC: Large Cell Carcinoma; NOS: Not Otherwise Specified

Table 2: EGFR and BIM distribution

Variable	N=89 (%)
Type of EGFR mutation	
Common	84 (94.4)
Uncommon	5 (5.6)
EGFR subgroup	
<i>Del19</i> (12 pb)	46 (50.7)
L858R	38 (42.6)
G719X	5 (6.7)
BIM global	
Positive	14 (15.7)
Negative	75 (84.3)
BCL2-like 11 par 4226 bp	
Negative	78 (87.6)
Positive	11 (12.4)
BCL2-like 11 par 363 bp	
Negative	79 (88.8)
Positive	10 (11.2)

DISCUSSION

Several studies have demonstrated that BIM deletion polymorphism is related with response to EGFR TKIs in NSCLC [20, 24–28]. BIM deletion polymorphism is an independent predictive factor of response to EGRF TKIs. Patients with a BIM del+ have low response rate to EGFR TKIs and have inferior clinical outcomes (PFS and or OS) compared to patients without BIM deletion [20, 25, 27]. BIM deletion polymorphism is relatively common in East Asians, but unusual in the European and African populations [20]. Our study documented for the first time the prevalence of BIM deletion polymorphism in the Latin American population (15.7 %; 14 of 89 patients). This prevalence is similar to that previously reported in the Asian population [24–26, 28]. We did not analyze the prevalence of BIM deletion polymorphism in healthy volunteers. In this study we also found that BIM deletion polymorphism was not related with any clinical or pathological factor and its prevalence is independent of the type of EGFR activating mutation.

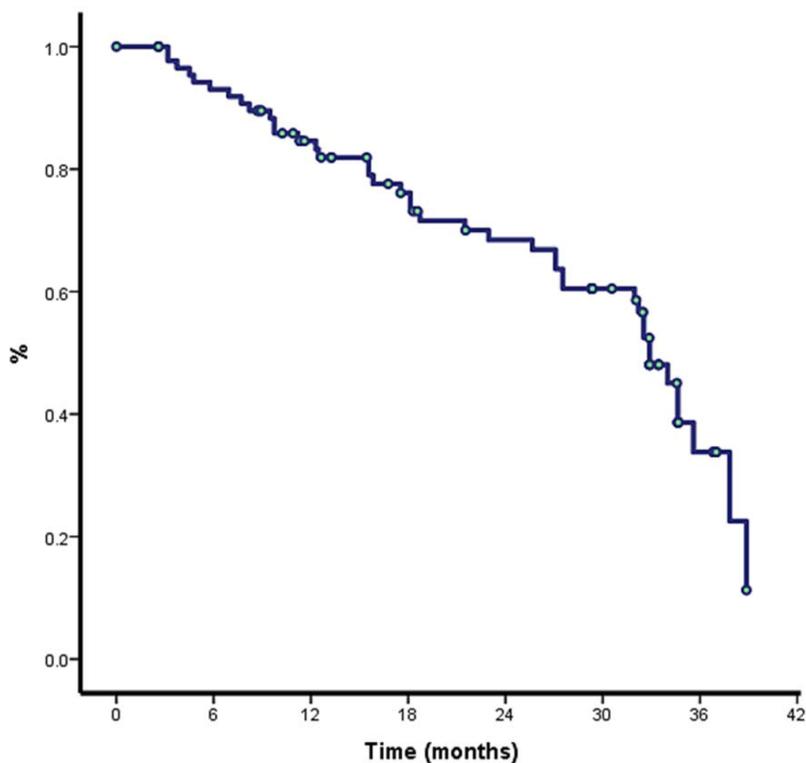
Ng et al. showed that BIM deletion polymorphisms are associated with inferior clinical outcomes in patients with NSCLC who received EGFR TKIs therapy [20]. In Ng

et al, study patients with BIM del+ had a shorter PFS (6.6 months) compared with BIM del- patients (11.9 months) (n = 141, p = 0.0027). Other studies from the Asian population have shown similar results demonstrating that the presence

of BIM deletion polymorphism is a negative predictive factor of response rate, PFS and OS to EGFR TKIs [24, 25, 27]. In a meta-analysis of six original eligible studies including 871 NSCLC patients [29], patients BIM del+

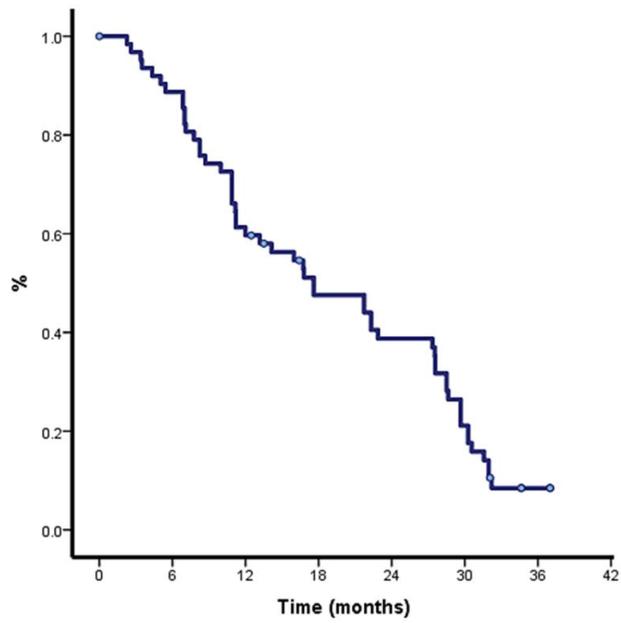
Table 3: Response rate in EGFR+ according to BIM-del status

Response rate	<i>BIM-del+</i> N=14 (%)	<i>BIM del-</i> N=75 (%)	P
Response to TKIs			
Yes	5 (35.7)	55 (73.3)	0.002
No	9 (64.3)	20 (26.7)	
Response to chemotherapy			
Yes	4 (28.6)	24 (32.0)	0.67
No	5 (35.7)	23 (30.7)	
ND	5 (35.7)	28 (37.3)	



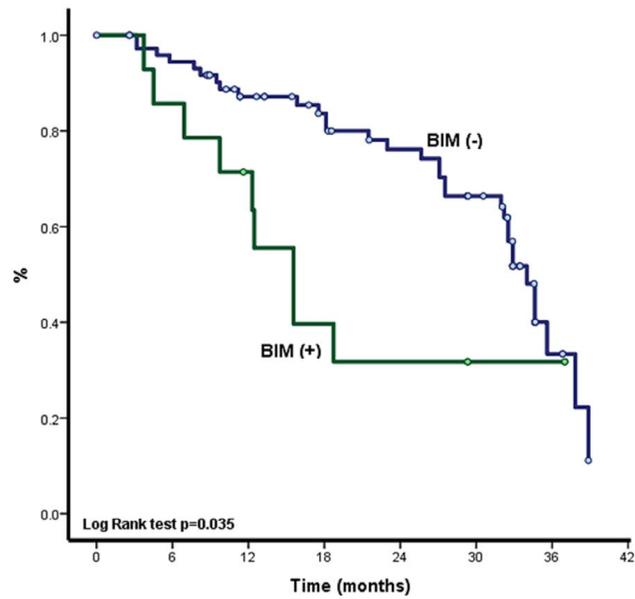
	Months						
	6	12	18	24	30	36	42
Number at risk	82	76	70	65	60	50	48
Events	7	13	19	24	29	39	41

Figure 1: A. Kaplan-Meier curve for overall survival (OS) after epidermal growth factor receptor (EGFR)-tyrosine kinase inhibitor treatment.



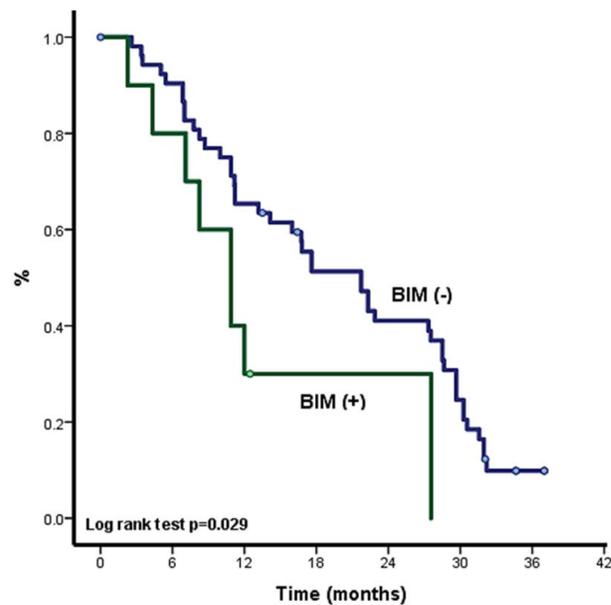
	Months						
	6	12	18	24	30	36	42
Number at risk	82	64	57	49	39	29	27
Events	7	18	7	5	10	7	0

Figure 1: B. Progression free survival.



	BIM polymorphisms status	Months						
		6	12	18	24	30	36	42
Number at risk	<i>BIM-del (-)</i>	68	63	61	57	52	45	43
	<i>BIM-del (+)</i>	12	9	5	4	2	2	0

Figure 2: A. Overall survival in EGFR+ according to BIM status.



	BIM polymorphisms status	Months						
		6	12	18	24	30	36	42
Number at risk	<i>BIM-del (-)</i>	70	57	48	43	35	25	23
	<i>BIM-del (+)</i>	12	7	6	6	4	4	4

Figure 2: B. Progression free survival in EGFR+ according to BIM-*del* status.

had poor response to EGFR TKI therapy ($p = 0.001$, OR = 0.39; 95% CI = 0.23–0.67). Disease control rate (DCR) with EGFR TKI treatment was significantly decreased in BIM del+ patients ($p = 0.007$, OR = 0.46, 95% CI = 0.25–0.85). Also, PFS and OS were significantly shorter in NSCLC EGFR-mutated patients with BIM deletion polymorphism (PFS: $p < 0.001$, HR = 1.37, 95% CI = 1.09–1.71; OS: $p = 0.003$, HR = 1.25, 95% CI = 1.08–1.45). Our results are consistent with these studies, suggesting that NSCLC EGFR mutation positive patients with BIM deletion polymorphism benefit less from EGFR TKI therapy in terms of PFS and OS compared to patients without BIM deletion polymorphism. BIM deletion polymorphism was an independent indicator of shorter PFS and OS in our population.

In the literature there are other studies with contradictory results to our study, failing to demonstrate an association between BIM deletion polymorphism and the response to EGFR TKI therapy [26, 28]. For example, Lee et al analyzed the influence of BIM deletion polymorphism in 205 NSCLC EGFR mutation positive patients [28]. BIM del+ patients had similar objective response rates compared to BIM del- patients (91% vs. 84%, $p = 0.585$). PFS and OS did not differ significantly between both molecular selected populations (PFS = 12 vs. 11 months, $p = 0.160$; OS = 31 vs. 30 months, $p = 0.452$). Similar results were reported in another study performed in the Asian population [26]. Different hypothesis have been proposed to explain these

contradictory results. For instance, the response to EGFR TKIs varies according to the level of the proapoptotic Bcl-2-homology domain 3 (BH3). Such changes in BH3 and not only the presence of BIM polymorphism itself could therefore explain these diverging results [30]. Likewise, there may be additional ethnic differences in BIM polymorphisms between East Asian and Latin American. Therefore measuring BIM mRNA levels before treatment should be encouraged to establish the role of BIM as a predictor of response to EGFR TKI therapy [30, 31].

Other pro-apoptotic proteins belonging to BCL-2 family such as BAX, BAK, PUMA and BAD might also play an important role in the response in oncogene-addicted cancer and activation of apoptosis in NSCLC [32–35]. Variations of the expression of these BCL-2 family proteins could influence the response to TKI therapy in the studies where BIM polymorphisms were evaluated. Further examination of additional genes such as TP53, PTEN and PIK3CA mutations might be useful to unveil the variety of responses to EGFR TKIs [34, 36, 37].

The present study had several limitations including sample size and bias related to the retrospective nature of data collection. We did not analyze BIM deletion polymorphism in blood samples, however there seems to be a concordance between peripheral venous blood and FFPE [25]; still, the validation of BIM deletion polymorphisms in blood samples is warranted as a non-

invasive method that allows tissue sparing. Also, we did not validate other genetic alterations such as BCL-2 family proteins distinct to BIM, PTEN, PI3K, etc., in order to explain different responses to EGFR TKIs.

MATERIALS AND METHODS

Patients and samples

This is a retrospective study following the results described by Ng and colleagues [20]. We included 89 patients carriers of EGFR mutations evaluated at the Clinical and Applied Cancer Research Foundation in Bogotá, Colombia. Samples and information were collected from January 1, 2011 to March 31, 2014. All patients met the following inclusion criteria: informed consent; histological confirmed non-squamous NSCLC, locally advanced or advanced disease (stage IV), no previous systemic treatment, age >18 years; and adequate formalin-fixed, paraffin-embedded (FFPE) tissue available to detect EGFR mutations and their BIM polymorphism status. We also obtained a complete medical history, laboratory tests results, and radiology examinations for each patient. All cases were treated with erlotinib 150 mg daily until disease progression or intolerable toxicity.

DNA extraction and EGFR mutation detection

DNA from tumor tissue was extracted using the DNeasy Tissue Kit or the QIAamp DNA FFPE Tissue Kit (Qiagen, Hilden, Germany) according to the manufacturer's protocol. EGFR mutations were studied by COBAS 8100 (Cobas real-time PCR platform, Roche Diagnostics, Indianapolis, Indiana, US).

BIM genotyping and direct sequencing

All samples were amplified by polymerase chain reaction (PCR) to detect *BIM* polymorphisms using the following primer sequences: wild-type (WT) *BIM* forward primer, 50-ACTGTAAAACGACGGCCAGTCCTCATGATGAAGGCTAACTCAA-30; and reverse primer, 50-ACCAGGAAACAGCTATGACCAACCTCTGACAAGTGACCACCA-30. For the BIM deletion polymorphism, the forward primer sequence was the same as that used for wild type BIM, and the reverse sequence was 50-ACCAGGAAA CAGCTATGACCGGCACAGCCTCTATGGAGAACA-30. The reaction condition was 95°C for 10 minutes followed by 40 cycles at 94°C for 30 seconds, 60°C for 30 seconds, and 72°C for 30 seconds; and a final extension at 72°C for 10 minutes using the Taq Polymerase premix PCR Kit (Applied Biosystems). PCR products (177 base pairs [bp] for the BIM deletion polymorphism and 174 bp for wild-type BIM) were then separated on a 3% agarose gel with nucleic acid dye by electrophoresis and were purified before direct sequencing.

To check the presence of somatic mutations in the BCL2L1 gene, a comprehensive screening was performed by direct sequencing including rare mutations described in COSMIC (0.2%; p.Q37Q, p.G49R, p.R85I, p.F97L, p.R188L, p.W195C) without finding any.

Statistical analysis

Statistical analyses were conducted using SPSS software 19.0 (SPSS, Chicago, IL, U.S.A.). Differences in clinical characteristics, overall response rate (ORR), PFS, OS and adverse events of patients with or without BIM deletion polymorphism (*BIM-del+*; *BIM del-*) were compared using the Pearson chi-square test or the Fisher's exact test. Survival curves were drawn by the Kaplan-Meier method, and statistical analysis was performed using the log-rank test. We used univariate analysis and multivariate Cox regression analysis (including type of EGFR mutation, *BIM-del*, response to TKIs, ECOG and brain metastases) to identify factors associated with PFS and OS. We studied the following clinical characteristics: age, sex, performance status, stage, weight loss, site of metastasis (brain, bone, lung, liver, lymph nodes), type of EGFR mutation [common mutations (L858R and exon 19 deletion) vs. uncommon mutations], EGFR-TKI response, chemotherapy response, smoking history, and *BIM-del*. For any purpose ORR was defined as the proportion of patients with tumor size reduction during TKI treatment, PFS was defined as the length of time between starting TKI and disease progression or death, and OS is the period of time from date of diagnosis until death.

CONCLUSIONS

The BIM deletion polymorphism is present in this Hispanic NSCLC EGFR mutated cohort of patients with a similar incidence to Asian countries. In our population, the presence of the BIM deletion polymorphism was an important and independent predictive factor of response when patients were treated with an EGFR TKI therapy.

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CONFLICTS OF INTEREST

The authors declare no conflicts of interest.

Preliminary results from this study have previously been shared during the 2014 LALCA Meeting.

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Author contributions

Conceived and designed the study and experiments: AFC, LR, OA, NR, RR.

Contributed reagents/materials/analysis tools: HC, CV, JO, JR, PA.

Wrote the paper: AFC, LR, BW, MC, LC-R, CM, CO, SF, CR, NR, RR.

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